

## ACSA COR MAINTENANCE ACTION PLAN

### Purpose of an Action Plan

Action Plans offer an alternative way for companies to maintain their COR by mapping out measurable goals using a company's combined corporate and safety objectives. Action Plans allow you to 'think outside the box' to identify gaps in your safety program.

This self-scoring, holistic approach improves a company's health and safety management system by strengthening its alignment to, and understanding of, the company's important corporate objectives.

### Eligibility Requirements

The following are eligibility requirements for applying for the Action Plan:

- Company is COR certified
  - Action Plans are not available for SECOR companies at this time
- A full-time employee at the company must hold the training requirements
- Company must have gone through a full three-year COR Cycle
- Company achieved over 85% on last external
  - if 2<sup>nd</sup> maintenance year, must have achieved over 60% on your last internal as well
  - if applying for a 2<sup>nd</sup> action plan, must have achieved over 80% on 1<sup>st</sup> year action plan

### Action Plan Development

Using the Action Plan application, companies will develop their Action Plan. The Action Plan application must include the following items:

1. Completed Action Plan application, including the individual(s) with the training requirements and the Action Plan participants
2. Application Self-Check, signed and dated by an Action Plan participant (i.e., auditor)
3. Developed Action Plan, with completed objectives and milestones

### Objectives

Objectives are the goals you plan to accomplish. They can be thought of as mini-projects; they have start and end times, require various steps, and can be weighted on importance.

Objectives for your Action Plan must meet the following criteria:

- Must meet be **SMART**: Specific, Measureable, Attainable, Relevant, and Time-bound
- Must include 3 – 7 objectives that are started and completed within the calendar year
- Based on your corporate health and safety priorities, projects, or initiatives
- At least one objective must be based on the recommendations from your last external audit

### Milestones

Milestones are the steps you take to complete the objective. They may include steps such as planning, research, development, implementation, and follow-up.

Milestones for your Action Plan must meet the following criteria:

- Must be written clearly and be actionable
- Must include 2 – 5 milestones for *each* objective
- Each milestone must be **assigned** to a specific person or group of people
- Each milestone must have a **target date of completion**
- Each milestone must have a **deliverable**
  - Deliverables are the documents or record that can verify that the milestone was completed
- Each milestone must have a **weighting**
  - Depending on the importance of each milestone for reaching the overall objective, assign an appropriate weight
  - The total weight of all milestones for all objectives must equal 100

### Submission of Action Plan Application

The developed Action Plan must be submitted for the ACSA for approval, prior to the deadline of **March 22**. Utilizing the Self-Check, you will submit the application, with the company profile and organizational chart and the developed Action Plan.

Our team of Quality Assurance Reviewers will notify the contact if the application is approved or if any amendments are required.

### Action Plan Final Submission

The company will work on implementing their Action Plan throughout the calendar year. Once the company has completed **all** their milestones, they will prepare for the submission of their finalized Action Plan. The final submission must include the following items:

- Self score based on the completion of each milestone
- Self scoring notes for each objective
- All deliverables for each objective & milestone
- Auditor maintenance participation descriptions
- Executive summary outlining the results, strengths, and recommendations
- Senior Company Representative must acknowledge the results of the completed Action Plan by signing and dating

### Self-Scoring

Companies assign a score based on the completion of the milestone. When assigning a score, review if the milestone was completed in full.

If the assigned milestone was completed in full, you will award full points. If the milestone was not completed at all, do not award points.

If it was partially completed (i.e., your milestone stated 4 drills would be conducted, but only 2 were conducted), only award partial points.

Provide an explanation as to how you awarded your points in the self-scoring notes section for each objective.

## Deliverables

The deliverables are documents or records that can verify that the milestone was completed. The deliverables must meet the following criteria:

- Must be legible
- Must be dated
- Must be clearly identified as to which milestone it is for (either in the document header or title)

## Auditor Maintenance Participation

Auditors that participate in the action plan process, including developing objectives/milestones, having responsibilities within the action plan, and signs off on the final submission, will maintain their auditor status for the year.

The Auditor Maintenance page must be completed and submitted with the Action Plan Submission. For each auditor that participated in the audit, a brief description of their responsibilities and participation within the Action Plan must be listed, along with the auditor's name and signature.

## Executive Summary

The executive summary will outline the overall results of the Action Plan, including listing the positive areas of the process and any recommendations the auditor(s) have.

## How to Submit your Action Plan

Once the final submission is ready, you can send it to the COR department. The submission must be organized by each objective, with a naming convention describing what each document is.

Submissions can be emailed to [cor@youracsa.ca](mailto:cor@youracsa.ca) as a compressed (zip) folder or a cloud-based download service. Alternatively, you may put your submission on a USB stick and mail it to the Edmonton ACSA office.

## Action Plan Submission – Quality Assurance Review

Once received, your action plan will be placed in the queue to be reviewed by the Quality Assurance Review team. The Quality Assurance Reviewer may request that you send amendments if your notes/deliverables are insufficient or incomplete.

The Quality Assurance Reviewer will assign their own score based on the notes and deliverables that were submitted. This score will be submitted to Partnerships as your score for the COR maintenance.

The finalized Action Plan must score a minimum of 60% to maintain the company's COR certification and the auditor's certification. If the Action Plan scores less than the minimum, an internal COR maintenance audit will be required before December 31.

